

Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc):

OHE CAPCS Funding – OHE1301

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency:

Office of Higher Education

Liaison: Jane Ciarleglio, Executive Director

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal: Nancy Brady

Title of Proposal

Connecticut Aid to Public College Students (CAPCS)

Statutory Reference

10a-164a

Proposal Summary

This proposal changes the responsibility for annual appropriation requests and approval of the formula from the Board of Regents to the Office of Higher Education

Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

- **Reason for Proposal**

When the Board of Regents was created and separated from the Office of Higher Education, the responsibility for requesting an appropriation of both CAPCS and Charter Oak funding was moved to the Board of Regents. In addition, the CAPCS funds are distributed based on a formula that is approved by the Board of Regents. The Board of Regents has no means to make a funding request because the funding is managed in the Office of Higher Education and the Board of Regents does not have access to the Formula on which the funding is based. Also, it seems inappropriate for the Board of Regents to act as their own fiduciary in this matter and to determine and approve funding for other than its own constituent units. This proposal requests that funding be returned to the Office of Higher Education which manages all financial aid in the state.

- **Origin of Proposal**

 X **New Proposal**

 Resubmission



If this is a resubmission, please share:

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?

PROPOSAL IMPACT

- **Agencies Affected** (please list for each affected agency)

Agency Name: Board of Regents

Agency Contact (name, title, phone):

Date Contacted:

Approve of Proposal ☐ YES ☐ NO ☐ Talks Ongoing

Summary of Affected Agency's Comments

Will there need to be further negotiation? ☐ YES ☐ NO

- **Fiscal Impact** (please include the proposal section that causes the fiscal impact and the anticipated impact)

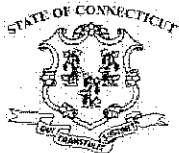
Municipal (please include any municipal mandate that can be found within legislation)

State

Federal

Additional notes on fiscal impact

- **Policy and Programmatic Impacts** (Please specify the proposal section associated with the impact)



Chapter 186 Sec.10a-164a from the 2012 Supplement

Sec. 10a-164a. Connecticut aid to public college students grant program. (a) ~~The [Board of Regents for] Office of Higher Education~~ shall annually request an appropriation ~~[to the Office of Financial and Academic Affairs for Higher Education]~~ equal to the amount required, for the fiscal year two years prior, for tuition waivers, tuition remissions, grants for educational expenses [and student employment] under subsection (f) of section 10a-77, subsection (f) of section 10a-99 and subsection (g) of section 10a-105. The office shall ~~develop a formula for and allocate~~ any such appropriation to The University of Connecticut, each of the Connecticut state universities and each of the regional community technical colleges ~~[in accordance with a formula approved by the Board of Regents for Higher Education]~~. The formula shall take into account the amount of federal student aid received by students at each institution. The amounts allocated shall be used to provide grants for educational expenses [and student employment] for residents of the state who demonstrate substantial financial need and are enrolled as full-time or part-time matriculated students in a degree-granting program or a precollege remedial program. For each fiscal year a minimum of ten per cent of the total amount of state student financial aid appropriated to each institution which exceeds the amount received by each institution for the fiscal year ending June 30, 1987, shall be used for student financial aid for needy minority students in accordance with the board's strategic plan for racial and ethnic diversity under section 10a-11. For each fiscal year a minimum of five per cent of the total amount of state student financial aid appropriated to each institution which exceeds the amount received by each institution for the fiscal year ending June 30, 1988, shall be used for on-campus or off-campus community service work-study placements. Individual awards shall not exceed a student's calculated financial need as determined on the basis of a needs analysis system approved by the United States Department of Education. Financial aid provided to Connecticut residents under this program shall be designated as a grant from the Connecticut aid to public college students grant program.

(b) Notwithstanding the provisions of subsection (a) of this section to the contrary, for the fiscal years ending June 30, 1989, and June 30, 1990, no institution shall have its allocation pursuant to this section reduced for the subsequent fiscal year solely because the institution did not use, for on-campus or off-campus community service work-study placements, a minimum of five per cent of the total amount of state student financial aid appropriated to the institution which exceeds the amount received by the institution for the fiscal year ending June 30, 1988.

(c) ~~The [Board of Regents for] Office of Higher Education shall request an appropriation[to the Office of Financial and Academic Affairs for Higher Education]~~ for each year of the biennium equal to the amount set aside by Charter Oak State College for fee waivers in the fiscal year two years prior to the fiscal year in which such appropriation will apply. Such amount shall not exceed fifteen per cent of the tuition and fees paid in the previous fiscal year. ~~The Office of [Financial and Academic Affairs for] Higher Education~~ shall allocate any such appropriation to Charter Oak State College to be used to provide grants for educational expenses to residents of the state who demonstrate substantial financial need and who are matriculated in a degree program at Charter Oak State College. Individual awards shall not exceed a student's calculated financial need as determined by a needs analysis system approved by the United States Department of Education.



Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc):

OHE Quarterly Financial Reports – OHE1302

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency:

Office of Higher Education

Liaison: Jane Ciarleglio, Executive Director

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal:

Nancy Brady

Title of Proposal

OHE Receipt of Quarterly Reports

Statutory Reference

10a-77(c), 10a-99(c), 10a-105(d) and 10a-143 (new)

Proposal Summary

The Office of Higher Education asks to be included in the Quarterly financial reporting process for the constituent units by being added to the list of recipients.

Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

• Reason for Proposal

Financial information in the quarterly reports is used by the Office in the development of financial aid formulae as well as trends and national reporting. When quarterly reports were coordinated through the Office this was not an issue, but quarterly reports are now coordinated through the Board of Regents, which requires a separate ask. It seems more efficient to put the "ask" in statute. There is no quarterly report language for Charter Oak in 10a-143.

• Origin of Proposal

☒ **New Proposal**

☐ **Resubmission**

If this is a resubmission, please share:

- (1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?*
- (2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*
- (3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*
- (4) *What was the last action taken during the past legislative session?*



PROPOSAL IMPACT

- **Agencies Affected** (please list for each affected agency)

Agency Name: Board of Regents and University of Connecticut

Agency Contact (name, title, phone):

Date Contacted:

Approve of Proposal ☐ YES ☐ NO ☐ Talks Ongoing

Summary of Affected Agency's Comments

Will there need to be further negotiation? ☐ YES ☐ NO

- **Fiscal Impact** (please include the proposal section that causes the fiscal impact and the anticipated impact)

Municipal (please include any municipal mandate that can be found within legislation)

State

Federal

Additional notes on fiscal impact

- **Policy and Programmatic Impacts** (Please specify the proposal section associated with the impact)



Sec. 10a-77. (Formerly Sec. 10-38h). Regional community-technical colleges: Tuition, fees and refunds. Operating fund. Operating accounts. Waivers. Reimbursement of fund. Course reenrollment for student members of the armed forces called to active duty. (a) Subject to the provisions of section 10a-26, the Board of Trustees of the Community-Technical Colleges shall fix fees for tuition at the regional community-technical colleges and shall fix fees for such other purposes as the board deems necessary at the regional community-technical colleges, and may make refunds to the same.

(c) Commencing December 1, 1984, and thereafter not later than sixty days after the close of each quarter, the board of trustees shall submit to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies, the Office of Higher Education and the Office of Policy and Management a report on the actual expenditures of the Regional Community-Technical Colleges Operating Fund.

Sec. 10a-99. (Formerly Sec. 10-116). Tuition, fees and refunds. Operating fund. Operating accounts. Waivers. Reimbursement of fund. Course reenrollment for student members of the armed forces called to active duty. (a) Subject to the provisions of section 10a-26, the Board of Trustees of the Connecticut State University System shall fix fees for tuition and shall fix fees for such other purposes as the board deems necessary at the university, and may make refunds of the same.

(c) Commencing December 1, 1984, and thereafter not later than sixty days after the close of each quarter, the board of trustees shall submit to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies the Office of Higher Education and the Office of Policy and Management a report on the actual expenditures of the Connecticut State University System Operating Fund.

Sec. 10a-105. (Formerly Sec. 10-119a). Tuition, fees and refunds. Operating funds. Special External Gift Fund. Endowment fund. Waivers. Reimbursement to The University of Connecticut Operating Fund. Course reenrollment for student members of the armed forces called to active duty. (a) Subject to the provisions of sections 10a-8 and 10a-26, the Board of Trustees of The University of Connecticut shall fix fees for tuition and shall fix fees for such other purposes as the board deems necessary at The University of Connecticut, and may make refunds of the same.

(d) Commencing December 1, 1981, and thereafter not later than sixty days after the close of each quarter, the board of trustees shall submit to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies the Office of Higher Education and the Office of Policy and Management, through the Board of Regents for Higher Education, a report on the actual expenditures of The University of Connecticut Operating Fund and The University of Connecticut Health Center Operating Fund containing such relevant information as the Office of Policy and Management may require in the form prescribed by the board of regents in accordance with subsection (a) of section 10a-8.

Sec. 10a-143. (Formerly Sec. 10-330a). New methods of awarding degrees and credits. Board for State Academic Awards. Operating fund. (a) The Board of Regents for Higher Education in concert with the state's institutions of higher education, shall study, develop and coordinate the implementation of new methods of awarding undergraduate degrees and college credits including but not limited to: (1) External degrees awarded on the basis of acceptable performance in an educational field whether or not the necessary education was obtained by the candidate at an institution of higher education, and (2) examinations and methods other than classroom instruction for determining qualifications. On or before July 1, 1993, each constituent unit of the state system of higher education shall establish procedures to award college credits pursuant to this subsection and subsection (e) of this section. (3) Not later than sixty days after the close of each quarter, the board of regents shall submit to the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies the Office of Higher Education and the Office of Policy and Management a report on the actual expenditures of the Charter Oak State College Operating Fund.

Office of Policy and Management (OPM20000A)(12)

CAFR/GAAP

Review of GAAP Forms 5 & 9- Obligation & Liability of the Local Capital Improvement Program (LoCIP)

Fund 12055, SID 40254

Purpose: To determine whether the LoCIP obligation reported in GAAP Form 5 and liability reported in GAAP Form 9 are accurate and supported.

Submitted by OPM		41000.4
Bond Authorized as of 6/30/2012:	\$	675,000,000.00
Bond Allocated/Allotted	\$	655,000,000.00
Balance	\$	20,000,000.00 See Comment No. 1
Plus FY 2012 Authorization Allotted in July 2012	\$	2,123,180.85
Total Liability & Obligation	\$	22,123,180.85 See Comment No. 1
Reported in GAAP Form 9 for Pending Claims	\$	3,338,811.53
Reported in GAAP Form 5 as Contractual Obligation	\$	18,784,369.32

Comment:

1. The agency calculated LoCIP liability based on a misunderstanding suggested by an auditor in FY 2010. The discrepancy of \$20 million between bond authorization and allocation represents an unallocated bond authorization which is not the program's obligation and liability.

As of June 30, 2012, LoCIP Entitlement of \$740,000,000 was \$65,000,000 greater than the bond authorization amount of \$675,000,000 due to PA 02-5 providing zero increase to the authorization and PA 04-1 May Special Session decreasing the bond authorization by \$5 million. As a result, the projects approved by OPM added up to \$693,452,385 as of June 30, 2012 exceeding the bond authorization by \$18,452,385. The approved projects were legally enforceable, therefore, are obligations to the agency.

2. We'd like to propose the following calculation and adjustment to the program's contractual obligation and liability.

	Amount	Source	
LoCIP Projects Approved by OPM Secretary as of 6/30/2012	\$ 693,452,385.25	LoCIP All Project	40700.95 Column 1, page 233
Cumulative payment	\$ 652,702,866.13	Summary, provided by Sandra Hubert, IGP	Column 2, page 233
Total Liability & Obligation	\$ 40,749,519.12	Division	Column 3, page 233
Payment List as of			
8/31/2012 by Sandra Hubert			
Reported in GAAP Form 9 for Pending Claims	\$ 3,338,811.53		

To be reported in GAAP Form 5 as Contractual Obligation \$ 37,410,707.59

Proposed Adjustment to GAAP Form 5 \$ 18,626,338.27



Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc): OHE1303 ARC Certificate Change

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency: **Office of Higher Education**

Liaison: **Jane Ciarleglio, Executive Director**

Phone: **(860) 947-1801**

E-mail: **JCiarleglio@ctohe.org**

Lead agency division requesting this proposal:

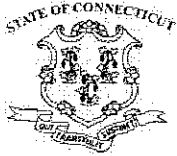
Agency Analyst/Drafter of Proposal:

Title of Proposal – Issuance of Initial Teacher Certificates to Graduates of the Connecticut Alternate Route to Certification Program

Statutory Reference Sec. 10-145b: Teaching Certificates

Proposal Summary to add the Alternate Route to Certification to the initial educator certificate statutes.

Please attach a copy of fully drafted bill (required for review)



PROPOSAL BACKGROUND

• Reason for Proposal

Please consider the following, if applicable:

(1) Have there been changes in federal/state/local laws and regulations that make this legislation necessary?

Several statutory changes have been implemented to support government efficiency, including state agency consolidations. The application process for ARC graduates is no longer efficient in regards to this paradigm shift, and cannot be conducted via the State Department of Education's on-line process. It also creates an administrative burden for K-12 school districts due to the time and process involved for the request of the 90-day certificate, and then the initial educator certificate mid-year when it is time to transfer to the next level of certification. Finally, ARC staff must spend a considerable amount of time educating candidates and school districts about the 90-day certificate and all of the implications of such credential. If the ARC Program can apply for the certificates upon completion of program training in the same manner as traditional programs, it will reduce redundant administrative obligations for ARC, SDE and K-12 staff.

The new laws related to the TEAM Program also warrant this change. New teachers must have an initial educator certificate on file to participate in the TEAM Program. Holders of 90-day certificates are technically ineligible to participate in the TEAM Program, which deprives them of crucial mentoring support at the district level during the initial months of their first year of teaching.

Also, several recent changes to the laws have been implemented to support ARC candidates who complete a national teacher corps program – i.e. resident teacher certificate; however, such changes do not support the framework of the State's mainstay ARC Program.

(2) Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?

**** Not applicable since Alternate Route to Certification programs and certification regulations vary considerably from state to state.**

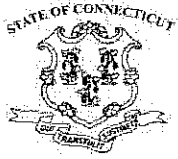
(3) Have certain constituencies called for this action?

A significant number of ARC graduates and K-12 administrators/personnel leaders have asked for graduates to earn a certificate upon completion of the ARC Program. This is because ARC graduates are considered fully eligible for a certificate upon completion of ARC, but not technically certified until offered a public school position that is considered "permanent." In an era of on-line application systems, ARC graduates have difficulty applying for jobs in many cases because on-line applications box them in with questions related to certification status. They can also be overlooked for long-term and permanent substitution assignments, nonpublic teaching positions, school-based tutoring positions, afterschool programs, and other "teacher-of-record" opportunities that require the possession of an actual teaching certificate at the time of application. In many other cases, ARC graduates have worked for several months and even a full school year as a "teacher of record" without the ability to get certified because they were hired for temporary positions that were not considered "permanent" teaching opportunities due to SDE roadblocks.

District TEAM facilitators are also asking why ARC teachers are not found in the mainstream certification system for TEAM participation. This is creating an unforeseen planning and administrative burden for TEAM facilitators who must keep all new teachers on a structured cycle during the initial years of teaching in order to complete the required modules and mentoring expectations.

(4) What would happen if this was not enacted in law this session?

The current process would continue to be handled in an archaic, redundant manner, and ARC graduates will continue running into various obstacles when seeking employment opportunities due to this formality and for all the aforementioned reasons. Given the longstanding reputation of the State's ARC Program, and the tremendous success of its graduates since 1988, it is time to address this matter and support their efforts to enter the classroom. ARC graduates come to ARC with a bachelor's degree and content expertise, with over 50 percent also presenting a masters and/or doctoral degree on an annual basis; significant life and professional experiences; and a proven record of community and civic involvement. When compared to traditional graduates who are automatically issued certificates without similar records of life and professional achievements, as well as no requirement for a teaching position, there seems to be a double standard in what determines certification issuance and qualification.



- **Origin of Proposal**

☒ **New Proposal**

☐ **Resubmission**

If this is a resubmission, please share:

- (1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?*
- (2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*
- (3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*
- (4) *What was the last action taken during the past legislative session?*

PROPOSAL IMPACT

- **Agencies Affected** (please list for each affected agency)

Agency Name: **Connecticut State Department of Education**

Agency Contact (name, title, phone):

Date Contacted:

Approve of Proposal ☐ YES ☐ NO ☐ Talks Ongoing

Summary of Affected Agency's Comments

Will there need to be further negotiation? ☐ YES ☐ NO

- **Fiscal Impact** (please include the proposal section that causes the fiscal impact and the anticipated impact)

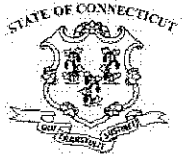
Municipal (please include any municipal mandate that can be found within legislation)

State

Federal

Additional notes on fiscal impact

- **Policy and Programmatic Impacts** (Please specify the proposal section associated with the impact)



Insert fully drafted bill here

Sec. 10-145b: Teaching Certificates

(a) The State Board of Education, upon receipt of a proper application, shall issue an initial educator certificate to any person who has graduated (1) from a four-year baccalaureate program of teacher education as approved by said state board, **or (2) from the State of Connecticut's Alternate Route to Certification summer or weekend program administered by the Office of Higher Education, or** (3) from a four-year baccalaureate program approved by said state board or from a college or university accredited by the board of governors or regionally accredited, provided such person has taken such teacher training equivalents as the State Board of Education shall require and, unless such equivalents are taken at institutions outside of this state, as the board of governors shall accredit. In addition, on and after July 1, 1993, each applicant shall have completed a subject area major as defined by the State Board of Education, except as provided in section 10-145f. Each such initial educator certificate shall be valid for three years, except as provided in subsection (c) of this section, and may be extended by the Commissioner of Education for an additional year for good cause upon the request of the superintendent in whose school district such person is employed or upon the request of the assessment team reviewing such person's performance.



Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc):

OHE COSC to CAPCS – OHE1304

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency:

Office of Higher Education

Liaison: Jane Ciarleglio, Executive Director

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal:

Nancy Brady

Title of Proposal

Charter Oak State College to CAPCS

Statutory Reference

10a-164a (c); 10a-6 (a) (3) and (4)

Proposal Summary

Remove Charter Oak State College's student financial aid language that is in lieu of CAPCS and move them into the CAPCS formula. Due to the fee schedule change from matriculation to credit in FY10 the formula funding was \$101,304. This year the formula request went up to \$425,921.

Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

• Reason for Proposal

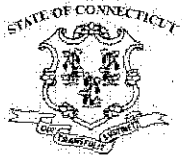
Beginning in fiscal 2012, Charter Oak State College changed its charging methodology from administrative fees to credit hour fees for classes that are, in effect, tuition. This has significantly increased COSC's fee-related revenue stream, and, when applied to the financial aid formula, significantly increased its formula funding request. Because the new fee structure acts as tuition, OHE suggests that COSC be subject to the 15% tuition set-aside, as are the other constituent units, and be moved into the CAPCS formula, which is based on the set-aside. This change would make the financial aid dispersal much more efficient and consistent.

• Origin of Proposal

☒ **New Proposal**

☐ **Resubmission**

This issue came to light when OHE prepared the formula funding request for COSC for FY 2014. Since OHE does not have authority to set either tuition or financial aid policy, this is simply a proposal.



PROPOSAL IMPACT

- **Agencies Affected** (please list for each affected agency)

Agency Name: Board of Regents

Agency Contact (name, title, phone):

Date Contacted:

Approve of Proposal ☐ YES ☐ NO ☐ Talks Ongoing

Summary of Affected Agency's Comments

Will there need to be further negotiation? ☐ YES ☐ NO

- **Fiscal Impact** (please include the proposal section that causes the fiscal impact and the anticipated impact)

Municipal (please include any municipal mandate that can be found within legislation)

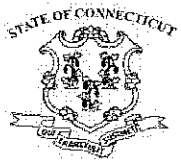
State

Federal

Additional notes on fiscal impact

- **Policy and Programmatic Impacts** (Please specify the proposal section associated with the impact)

COSC would be required to set-aside 15% of their tuition/fees for need-based financial aid. This may be a higher percentage than they are currently providing. COSC would be part of the CAPCS financial aid funding formula, which would likely increase their state-funded financial aid



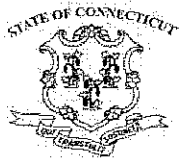
Insert fully drafted bill here

Chapter 186 Sec.10a-164a from the 2012 Supplement

Sec. 10a-164a. Connecticut aid to public college students grant program. (a) The [Board of Regents for] Office of Higher Education shall annually request an appropriation [to the Office of Financial and Academic Affairs for Higher Education] equal to the amount required, for the fiscal year two years prior, for tuition waivers, tuition remissions, grants for educational expenses and student employment under subsection (f) of section 10a-77, subsection (f) of section 10a-99, subsection (f) of 10a-143 and subsection (g) of section 10a-105. The office shall develop a formula for and allocate any such appropriation to The University of Connecticut, each of the Connecticut state universities and each of the regional community-technical colleges[in accordance with a formula approved by the Board of Regents for Higher Education]. The formula shall take into account the amount of federal student aid received by students at each institution. The amounts allocated shall be used to provide grants for educational expenses and student employment for residents of the state who demonstrate substantial financial need and are enrolled as full-time or part-time matriculated students in a degree-granting program or a precollege remedial program. For each fiscal year a minimum of ten per cent of the total amount of state student financial aid appropriated to each institution which exceeds the amount received by each institution for the fiscal year ending June 30, 1987, shall be used for student financial aid for needy minority students in accordance with the board's strategic plan for racial and ethnic diversity under section 10a-11. For each fiscal year a minimum of five per cent of the total amount of state student financial aid appropriated to each institution which exceeds the amount received by each institution for the fiscal year ending June 30, 1988, shall be used for on-campus or off-campus community service work-study placements. Individual awards shall not exceed a student's calculated financial need as determined on the basis of a needs analysis system approved by the United States Department of Education. Financial aid provided to Connecticut residents under this program shall be designated as a grant from the Connecticut aid to public college students grant program.

(b) Notwithstanding the provisions of subsection (a) of this section to the contrary, for the fiscal years ending June 30, 1989, and June 30, 1990, no institution shall have its allocation pursuant to this section reduced for the subsequent fiscal year solely because the institution did not use, for on-campus or off-campus community service work-study placements, a minimum of five per cent of the total amount of state student financial aid appropriated to the institution which exceeds the amount received by the institution for the fiscal year ending June 30, 1988.

STRIKE this section[(c) The [Board of Regents for] Office of Higher Education shall request an appropriation[to the Office of Financial and Academic Affairs for Higher Education] for each year of the biennium equal to the amount set aside by Charter Oak State College for fee waivers in the fiscal year two years prior to the fiscal year in which such appropriation will apply. Such amount shall not exceed fifteen per cent of the tuition and fees paid in the previous fiscal year. The Office of [Financial and Academic Affairs for] Higher Education shall allocate any such appropriation to Charter Oak State College to be used to provide grants for educational expenses to residents of the state who demonstrate substantial financial need and who are matriculated in a degree program at Charter Oak State College. Individual awards shall not exceed a student's calculated financial need as determined by a needs analysis system approved by the United States Department of Education.]



Sec. 10a-6. (Formerly Sec. 10-323e). Duties of the Board of Regents for Higher Education; establishment of state-wide policy for higher education. (a) The Board of Regents for Higher Education shall: (1) Establish state-wide policy and guidelines for Connecticut's system of public higher education; (2) develop a master plan for higher education and postsecondary education, consistent with the goals in subsection (b) of this section; (3) establish state-wide tuition and student fee policies; (4) establish state-wide student financial aid policies; (5) monitor and evaluate institutional effectiveness and viability in accordance with criteria established by the board; (6) merge or close institutions in accordance with criteria established by the board, provided (A) such recommended merger or closing shall require a two-thirds vote of the board and (B) notice of such recommended merger or closing shall be sent to the committee having cognizance over matters relating to education and to the General Assembly; (7) review and approve mission statements for the constituent units and role and scope statements for the individual institutions and campuses thereof; (8) review and approve any recommendations for the establishment of new academic programs submitted to the board by the constituent unit boards of trustees, and, in consultation with the affected constituent units, provide for the initiation, consolidation or termination of academic programs. The Board of Regents for Higher Education shall notify the board of trustees affected by the proposed termination of an academic program. Within ninety days of receipt of such notice, said trustees shall accept or reject the termination proposal and shall notify the Board of Regents for Higher Education of its action. If the termination proposal is rejected by the trustees, the Board of Regents for Higher Education may override the rejection by a two-thirds vote; (9) develop criteria to ensure acceptable quality in programs and institutions and enforce standards through licensing and accreditation; (10) prepare and present to the Governor and General Assembly, in accordance with section 10a-8, consolidated operating and capital expenditure budgets for public higher education developed in accordance with the provisions of said section 10a-8; (11) review and make recommendations on plans received from the constituent unit boards of trustees for the continuing development and maximum utilization of the state's public higher education resources; (12) appoint advisory committees to assist in defining and suggesting solutions for the problems and needs of higher education; (13) establish an advisory council for higher education with representatives from public and private institutions to study methods and proposals for coordinating efforts of all such institutions in providing a stimulating and enriched educational environment for the citizens of the state, including measures to improve educational opportunities through alternative and nontraditional approaches such as external degrees and credit by examination; (14) coordinate programs and services throughout public higher education and between public and independent institutions, including procedures to evaluate the impact on independent institutions of higher education of proposals affecting public institutions of higher education; (15) make or enter into contracts, leases or other agreements in connection with its responsibilities under this part, provided all acquisitions of real estate by lease or otherwise shall be subject to the provisions of section 4b-23; (16) be responsible for the care and maintenance of permanent records of institutions of higher education dissolved after September 1, 1969; (17) prepare and present to the Governor and General Assembly legislative proposals affecting public higher education, including proposals which utilize programs and facilities of independent institutions of higher education; (18) develop and maintain a central higher education information system and establish definitions and data requirements for the state system of higher education; and (19) undertake such studies and other activities as will best serve the higher educational interests of the state.

(b) Within the limits of authorized expenditures, the policies of the state system of higher education shall be consistent with the following goals: (1) To ensure that no qualified person be denied the opportunity for higher education on the basis of age, sex, gender identity or expression, ethnic background or social, physical or economic condition, (2) to protect academic freedom, (3) to provide opportunities for education and training related to the economic, cultural and educational development of the state, (4) to assure the fullest possible use of available resources in public and private institutions of higher education, (5) to maintain standards of quality ensuring a position of national leadership for state institutions of higher education, (6) to apply the resources of higher education to the problems of society, and (7) to foster flexibility in the policies and institutions of higher education to enable the system to respond to changes in the economy, society, technology and student interests. Said board shall review recent studies of the need for higher education services, with special attention to those completed pursuant to legislative action, and to meet such needs shall initiate additional programs or services through one or more of the constituent units.

(c) Repealed by P.A. 83-533, S. 53, 54.

(d) The board of regents shall request and receive, or be provided electronic access to, data, reports and other information from the constituent units of the state system of higher education that is necessary for the board to carry out its responsibilities pursuant to this section.



Agency Legislative Proposal - 2013 Session

Document Name: OHE1305 Change to Map Annual Report

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency: Office of Higher Education

Liaison: Jane Ciarleglio, Executive Director

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal: Office of Educational Opportunities/Minority Advancement Program

Agency Analyst/Drafter of Proposal: Jacqueline M. Johnson

Title of Proposal: Annual Performance Report

Statutory Reference: Public Act No. 12-156; Sec. 10a-11

Proposal Summary: Sec. 10a-11 directs the Office of Higher Education to develop policies and a strategic plan, consistent with affirmative action goals submitted to the Commission on Human Rights and Opportunities, by each institution of public higher education. However as of July 1, 2011, the Board of Regents for Higher Education statutorily serves as the governing body of public higher education institutions; thus would implement such activities. Still, the Office of Higher Education would require those institutions which the office supports through its minority advancement programs, to complete an Annual Performance Report. The Office of Higher Education would provide an annual report to the Governor and General Assembly on the enrollment, retention and graduation rate of disadvantaged students in accordance to section 10a-10.

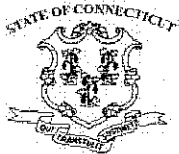
Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

• Reason for Proposal

Please consider the following, if applicable:

- (1) Have there been changes in federal/state/local laws and regulations that make this legislation necessary?
- (2) Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?
- (3) Have certain constituencies called for this action?
- (4) What would happen if this was not enacted in law this session?



- **Origin of Proposal** ☒ **New Proposal** ☐ **Resubmission**

If this is a resubmission, please share:

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?

PROPOSAL IMPACT

- **Agencies Affected** (please list for each affected agency)

Agency Name:

Agency Contact (name, title, phone):

Date Contacted:

Approve of Proposal ☐ YES ☐ NO ☐ Talks Ongoing

Summary of Affected Agency's Comments

Will there need to be further negotiation? ☐ YES ☐ NO

- **Fiscal Impact** (please include the proposal section that causes the fiscal impact and the anticipated impact)

Municipal (please include any municipal mandate that can be found within legislation)

State

Federal

Additional notes on fiscal impact

- **Policy and Programmatic Impacts** (Please specify the proposal section associated with the impact)



Insert fully drafted bill here

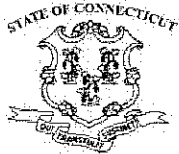
Strike Sec. 10a-11 and replace it with:

Sec. 10a-11. [Strategic plan to ensure racial and ethnic diversity. Minority advancement program. (a) The Office of Higher Education shall, in consultation with the institutions of the state system of higher education and the constituent unit boards of trustees, develop a strategic plan, consistent with the affirmative action plan submitted to the Commission on Human Rights and Opportunities in accordance with section 46a-68, to ensure that students, faculty, administrators and staff at each institution are representative of the racial and ethnic diversity of the total population of the state. For each institution there shall be an approved plan which shall include goals, programs and timetables for achieving those goals, and a procedure to monitor annually the results of these programs and a procedure to take corrective action if necessary. The Office of Higher Education shall also develop policies to guide equal employment officers and programs in all constituent units and at each institution of public higher education.

(b) The Office of Higher Education shall report annually to the Governor and General Assembly on the activities undertaken by the office in accordance with subsection (a) of this section. The report shall include institutional goals and plans for attaining such goals, as well as changes in enrollment and employment at the state's institutions of public higher education. If it is determined that an institution has failed to achieve the goals set out pursuant to this section, such institution shall develop a plan of corrective procedures to ensure that such goals are achieved, subject to the approval of the Office of Higher Education.] Annual Performance Report (APR). The Office of Higher Education shall report annually to the Governor and General Assembly on the enrollment, retention and graduation rate of disadvantaged students at the state's institutions of higher education. The Office of Higher Education may establish a minority advancement program to reward and support efforts by institutions within the state system of higher education towards meeting the enrollment, retention and graduation goals established [in the strategic plan



developed pursuant to subsection (a) of this section.] by the Office of Educational Opportunity in the Office of Higher Education in accordance with section 10a-10.



Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc): OHE1306 Higher Education Fee Structures

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency:

Office of Higher Education

Liaison: **Jane Ciarleglio, Executive Director**

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal:

Title of Proposal

Fee Structure for Licensure and Accreditation of Postsecondary Institutions

Statutory Reference

Proposal Summary

To charge fees to institutions of higher education for program approval services that the State provides. Proposed additions are included. This could also change the current program approval process.

Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

• Reason for Proposal

Please consider the following, if applicable:

- (1) Both MA and NH have a fee structure for these services. Our Office has modeled its proposal based on what those States have done.

• Origin of Proposal ☐ New Proposal ☐ Resubmission

If this is a resubmission, please share:

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?



Licensing & Accreditation Projection

<u>Fee Description</u>	<u>Fee Charged</u>
Initial Licensure Application - Institutional	\$ 10,000.00
Annual Fee	\$ 4,000.00
Initial Application Degrees/Programs	\$ 2,000.00
Requesting additional Program	\$ -
Site Visit	\$ 4,000.00
Substantive Change	\$ 500.00
Stipend for Evaluation Team	\$ -
Expedited Review	\$ -
Annual Revenue	

Massachusetts			
2009-2010		2010-2011	
<u>Number</u>	<u>Revenue</u>	<u>Number</u>	<u>Revenue</u>
3	\$ 30,000.00	1	\$ 10,000.00
8	\$ 32,000.00	11	\$ 44,000.00
85	\$ 170,000.00	78	\$ 156,000.00
-	\$ -	0	\$ -
10	\$ 40,000.00	10	\$ 40,000.00
1	\$ 500.00	2	\$ 1,000.00
-	\$ -	-	\$ -
-	\$ -	-	\$ -
107	\$ 272,500.00	102	\$ 251,000.00

<u>Fee Description</u>	<u>Fee Charged</u>
Initial Licensure Application - Institutional	\$ 10,000.00
Annual Fee	\$ -
Initial Application Degrees/Programs	\$ 2,000.00
Requesting additional Program	\$ 500.00
Annual Reports	\$ 500.00
Site Visit	\$ 1,000.00
Substantive Change	\$ 3,000.00
Stipend for Evaluation Team/per	\$ 1,000.00
Expedited Review	\$ 1,000.00
Annual Revenue	

New Hampshire			
2009-2010		2010-2011	
<u>Number</u>	<u>Revenue</u>	<u>Number</u>	<u>Revenue</u>
3	\$ 30,000.00	1	\$ 10,000.00
-	\$ -	-	\$ -
85	\$ 170,000.00	78	\$ 156,000.00
25	\$ 12,500.00	20	\$ 10,000.00
7	\$ 3,500.00	5	\$ 2,500.00
10	\$ 10,000.00	10	\$ 10,000.00
1	\$ 3,000.00	2	\$ 6,000.00
40	\$ 40,000.00	40	\$ 40,000.00
-	\$ -	-	\$ -
171	\$ 269,000.00	156	\$ 234,500.00



Fee Description

Initial Licensure Application -
Institutional

Annual Fee

Initial Application Degrees/Programs

Program Modification

Annual Reports

Site Visit

Substantive Change

Stipend for Evaluation Team/per

Registration of Offerings

Expedited Review

Annual Revenue

Fee Proposal

\$
10,000.00

\$
1,000.00

\$
2,000.00

\$
500.00

\$
500.00

\$
1,000.00

\$
1,000.00

\$
1,000.00

\$
100.00

\$
1,000.00

Connecticut

2009-2010

2010-2011

<u>Number</u>	<u>Revenue</u>	<u>Number</u>	<u>Revenue</u>
3	\$ 30,000.00	1	\$ 10,000.00
8	\$ 8,000.00	11	\$ 11,000.00
85	\$170,000.00	78	\$156,000.00
25	\$12,500.00	20	\$10,000.00
7	\$3,500.00	5	\$2,500.00
10	\$10,000.00	10	\$10,000.00
1	\$1,000.00	2	\$2,000.00
10	\$10,000.00	10	\$10,000.00
22	\$2,200.00	11	\$1,100.00
-	\$ -	0	\$ -
171	\$247,200.00	148	\$212,600.00



Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc): OHE1307 Clarifications to Higher Education Reorganization Act

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency:

Office of Higher Education

Liaison: Jane Ciarleglio, Executive Director

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal:

Title of Proposal

Clarifying Changes to the Higher Education Reorganization Act

Statutory Reference

Proposal Summary

Placeholder per Governor's Office for discussion.

Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

• Reason for Proposal

Please consider the following, if applicable:

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

• Origin of Proposal ☐ New Proposal ☐ Resubmission

If this is a resubmission, please share:

- (1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?*
- (2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*
- (3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*
- (4) *What was the last action taken during the past legislative session?*

PROPOSAL IMPACT

Insert fully drafted bill here



Agency Legislative Proposal - 2013 Session

Document Name (e.g. OPM1015Budget.doc; OTG1015Policy.doc): OHE1308 Revisions to the 2011 Higher Education Reorganization Act

(If submitting an electronically, please label with date, agency, and title of proposal – 092611_SDE_TechRevisions)

State Agency:

The Office of Higher Education

Liaison: Jane Ciarleglio, Executive Director

Phone: (860) 947-1801

E-mail: JCiarleglio@ctohe.org

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal:

Title of Proposal

Revisions to the 2011 Higher Education Act

Statutory Reference Sec. 10a-10; Sec. 10a-11a

Proposal Summary

Technical changes in statutory language.

Please attach a copy of fully drafted bill (required for review)

PROPOSAL BACKGROUND

• Reason for Proposal

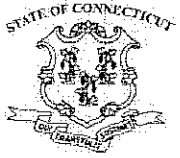
Please consider the following, if applicable:

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

• Origin of Proposal

☐ New Proposal

☐ Resubmission



If this is a resubmission, please share:

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?

PROPOSAL IMPACT

- **Agencies Affected** (please list for each affected agency)

Agency Name:

Agency Contact (name, title, phone):

Date Contacted:

Approve of Proposal ☐ YES ☐ NO ☐ Talks Ongoing

Summary of Affected Agency's Comments

Will there need to be further negotiation? ☐ YES ☐ NO

- **Fiscal Impact** (please include the proposal section that causes the fiscal impact and the anticipated impact)

Municipal (please include any municipal mandate that can be found within legislation)

State

Federal

Additional notes on fiscal impact

- **Policy and Programmatic Impacts** (Please specify the proposal section associated with the impact)



Insert fully drafted bill here

Sec. 10a-10. Office of Educational Opportunity. The[Board of Governors] Office of Higher Education shall establish an Office of Educational Opportunity in the Department of Higher Education, within the limits of funds appropriated for such purpose. The office shall [assist the board in state-wide efforts to] increase enrollment, retention and graduation of disadvantaged students and to help ensure that faculties, administrators and other staff of the state's institutions of public higher education are representative of the diversity of the total population of the state.

Sec. 10a-11a. Connecticut collegiate awareness and preparation program. (a) [As part of the minority advancement program,] the Office of [Financial and Academic Affairs for] Higher Education shall establish a Connecticut collegiate awareness and preparation program to develop linkages with public school systems targeted by the office for the purpose of providing motivation and skills development for middle school or high school underachievers.